



## PART B - FEE(S) TRANSMITTAL

Complete and send this form, together with applicable fee(s), to: MailMail Stop ISSUE FEE  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450  
or Fax (571) 273-2885

INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 5 should be completed where appropriate. All further correspondence including the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address as indicated unless corrected below or directed otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for maintenance fee notifications.

CURRENT CORRESPONDENCE ADDRESS (Note: Use Block 1 for any change of address)

008791

7590

08/01/2005

BLAKELY SOKOLOFF TAYLOR & ZAFMAN  
12400 WILSHIRE BOULEVARD  
SEVENTH FLOOR  
LOS ANGELES, CA 90025-1030

08/11/2005 WABDEL3 00000084 09872936

01 FC:1501  
02 FC:80011400.00 OP  
30.00 OP

Note: A certificate of mailing can only be used for domestic mailings of the Fee(s) Transmittal. This certificate cannot be used for any other accompanying papers. Each additional paper, such as an assignment or formal drawing, must have its own certificate of mailing or transmission.

## Certificate of Mailing or Transmission

I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Mail Stop ISSUE FEE address above, or being facsimile transmitted to the USPTO (571) 273-2885, on the date indicated below.

|                |                    |
|----------------|--------------------|
| DAVID CASTRO   | (Depositor's name) |
|                | (Signature)        |
| August 8, 2005 | (Date)             |

| APPLICATION NO. | FILING DATE | FIRST NAMED INVENTOR     | ATTORNEY DOCKET NO. | CONFIRMATION NO. |
|-----------------|-------------|--------------------------|---------------------|------------------|
| 09/872,936      | 06/02/2001  | Ravikrishna V. Cherukuri | 004906.P072         | 3280             |

TITLE OF INVENTION: METHOD AND APPARATUS FOR CONTROLLING THE ADMISSION OF DATA INTO A NETWORK ELEMENT

| APPLN. TYPE    | SMALL ENTITY | ISSUE FEE | PUBLICATION FEE | TOTAL FEE(S) DUE | DATE DUE   |
|----------------|--------------|-----------|-----------------|------------------|------------|
| nonprovisional | NO           | \$1400    | \$0             | \$1400           | 11/01/2005 |

| EXAMINER          | ART UNIT | CLASS-SUBCLASS |
|-------------------|----------|----------------|
| MARTINEZ, DAVID E | 2182     | 709-250000     |

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).

- ☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.
- ☐ "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required.

2. For printing on the patent front page, list

- (1) the names of up to 3 registered patent attorneys or agents OR, alternatively,
- (2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.

1. BLAKELY, SOKOLOFF  
TAYLOR & ZAFMAN

2. \_\_\_\_\_

3. \_\_\_\_\_

3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE

Redback Networks Inc.

(B) RESIDENCE: (CITY and STATE OR COUNTRY)

San Jose, California

Please check the appropriate assignee category or categories (will not be printed on the patent): ☐ Individual ☒ Corporation or other private group entity ☐ Government

4a. The following fee(s) are enclosed:

- ☒ Issue Fee
- ☐ Publication Fee (No small entity discount permitted)
- ☒ Advance Order - # of Copies ten (10)

4b. Payment of Fee(s):

- ☒ A check in the amount of the fee(s) is enclosed.
- ☐ Payment by credit card. Form PTO-2038 is attached.
- ☒ The Director is hereby authorized by charge the required fee(s), or credit any overpayment, to Deposit Account Number 02-2666 (enclose an extra copy of this form).

5. Change in Entity Status (from status indicated above)

- ☐ a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27.
- ☐ b. Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(g)(2).

The Director of the USPTO is requested to apply the Issue Fee and Publication Fee (if any) or to re-apply any previously paid issue fee to the application identified above.

NOTE: The Issue Fee and Publication Fee (if required) will not be accepted from anyone other than the applicant; a registered attorney or agent; or the assignee or other party in interest as shown by the records of the United States Patent and Trademark Office.

Authorized Signature

Date

August 8, 2005

Typed or printed name

Kevin G. Shao

Registration No.

45,095

This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

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## FEE TRANSMITTAL FOR FY 2005

Effective on 12/08/2004. Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818).

TOTAL AMOUNT OF PAYMENT (\$) 1,430.00

Complete if Known:

Application No. 09/872,936  
Filing Date June 2, 2001  
First Named Inventor Ravikrishna V. Cherukuri, et al.  
Examiner Name David E. Martinez  
Confirmation No. 3280  
Art Unit 2182  
Attorney Docket No. 004906.P072

Applicant claims small entity status. See 37 CFR 1.27.

### METHOD OF PAYMENT (check all that apply)

☒ Check ☐ Credit Card ☐ Money Order ☐ None ☐ Other (please identify)

☒ Deposit Account

Deposit Account Number : 02-2666

Deposit Account Name: \_\_\_\_\_

☒ The Director is Authorized to do the following with respect to the above-identified Deposit Account:

Charge fee(s) indicated below.

☒ Charge any additional fee(s) or underpayment of fee(s) during the pendency of this application.

☐ Charge fee(s) indicated below except for the filing fee

☒ Credit any overpayments.

☒ Any concurrent or future reply that requires a petition for extension of time should be treated as incorporating an appropriate petition for extension of time and all required fees should be charged.

Warning: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

### FEE CALCULATION

#### 1. BASIC FILING, SEARCH, AND EXAMINATION FEES

| Large Entity |          | Small Entity |          | Fee Description                    |           | Fees Paid (\$) |
|--------------|----------|--------------|----------|------------------------------------|-----------|----------------|
| Fee Code     | Fee (\$) | Fee Code     | Fee (\$) |                                    |           |                |
| 1011         | 300      | 2011         | 150      | Utility application filing fee     |           |                |
| 1111         | 500      | 2111         | 250      | Utility search fee                 | 1,000/500 |                |
| 1311         | 200      | 2311         | 100      | Utility examination fee            |           |                |
| 1012         | 200      | 2012         | 100      | Design application filing fee      |           |                |
| 1112         | 100      | 2112         | 50       | Design search fee                  | 430/215   |                |
| 1312         | 130      | 2312         | 65       | Design examination fee             |           |                |
| 1013         | 200      | 2013         | 100      | Plant filing fee                   |           |                |
| 1113         | 300      | 2113         | 150      | Plant search fee                   | 660/330   |                |
| 1313         | 160      | 2313         | 80       | Plant examination fee              |           |                |
| 1004         | 300      | 2004         | 150      | Reissue filing fee                 |           |                |
| 1114         | 500      | 2114         | 250      | Reissue search fee                 | 1,400/700 |                |
| 1314         | 600      | 2314         | 300      | Reissue examination fee            |           |                |
| 1005         | 200      | 2005         | 100      | Provisional application filing fee |           |                |
| SUBTOTAL (1) |          |              |          |                                    |           | \$ 0.00        |

## 2. EXCESS CLAIM FEES

|   | <u>Extra Claims</u> | <u>Fee from below</u>  | <u>Fees Paid (\$)</u>       |
|---|---------------------|--|-----------------------------|
| <b>Total Claims</b> _____ - 20 or HP = _____                          |                     | X \$50.00  | = 0.00                      |
| HP = highest number of total claims paid for, if greater than 20      |                     |  |                             |
| <b>Independent Claims</b> _____ - 3 or HP = _____                     |                     | X \$200.00   | = 0.00                      |
| HP = highest number of independent claims paid for, if greater than 3 |                     |  |                             |
| <b>Multiple Dependent Claims</b> _____                                |                     |  | = 0.00                      |
| <br>  |                     |  |                             |
| <b>Large Entity</b>   | <b>Small Entity</b> |  |                             |
| <b>Fee</b>  | <b>Fee</b>          |  |                             |
| <b>Code</b>   | <b>Code</b>         | <b>Fee Description</b>   |                             |
| 1202  | 2202                | Each claim over 20   |                             |
| 1201  | 2201                | Each independent claim over 3                                    |                             |
| 1203  | 2203                | Multiple dependent claims, if not paid                           |                             |
| 1204  | 2204                | Reissue: each claim over 20 and more than in the original patent |                             |
| 1205  | 2205                | Reissue: each independent claim more than in the original patent |                             |
|   |                     |  | <b>SUBTOTAL (2) \$ 0.00</b> |

## 3. APPLICATION SIZE FEE

If the specification and drawings exceed 100 sheets of paper, the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).

| <u>Total Sheets</u> | <u>Extra Sheets</u> | <u>Number of each add'l 50 or fraction thereof</u>   | <u>Fee from below</u>       | <u>Fees paid (\$)</u> |
|---------------------|---------------------|--|-----------------------------|-----------------------|
| _____               | - 100 = _____       | / 50 = _____ (round up to whole number)  | X \$250.00                  | 0.00                  |
| <br>                |                     |  |                             |                       |
| <b>Large Entity</b> | <b>Small Entity</b> | <b>Fee Description: Application size fee for each additional group of 50 sheets beyond initial 100 sheets (count spec &amp; drawings except sequences &amp; program listings):</b> |                             |                       |
| <b>Fee</b>          | <b>Fee</b>          |  |                             |                       |
| <b>Code</b>         | <b>Code</b>         |  |                             |                       |
| 1081                | 2081                | Utility  |                             |                       |
| 1082                | 2082                | Design   |                             |                       |
| 1083                | 2083                | Plant  |                             |                       |
| 1084                | 2084                | Reissue  |                             |                       |
|                     |                     |  | <b>SUBTOTAL (3) \$ 0.00</b> |                       |

**FEE CALCULATION (continued)****4. OTHER FEE(S)**

|  |          |                     |          | <b>Fees Paid (\$)</b>  |                    |
|--|----------|---------------------|----------|--|--------------------|
| Non-English Specification, \$130 fee (no small entity discount)                              |          |                     |          |  |                    |
| <u>Large Entity</u>  |          | <u>Small Entity</u> |          |  |                    |
| Fee Code   | Fee (\$) | Fee Code            | Fee (\$) | Fee Description  |                    |
| 1051   | 130      | 2051                | 65       | Surcharge - late filing fee or oath  |                    |
| 1052   | 50       | 2052                | 25       | Surcharge - late provisional filing fee or cover sheet                     |                    |
| 1053   | 130      | 1053                | 130      | Non-English specification  |                    |
| 1812   | 2,520    | 1812                | 2,520    | For filing a request for ex parte reexamination                            |                    |
| 1813   | 8,800    | 1813                | 8,800    | Request for inter parties reexamination                                    |                    |
| 1804   | 920*     | 1804                | 920*     | Requesting publication of SIR prior to Examiner action                     |                    |
| 1805   | 1,840*   | 1805                | 1,840*   | Requesting publication of SIR after Examiner action                        |                    |
| 1251   | 120      | 2251                | 60       | Extension for reply within first month                                     |                    |
| 1252   | 450      | 2252                | 225      | Extension for reply within second month                                    |                    |
| 1253   | 1,020    | 2253                | 510      | Extension for reply within third month                                     |                    |
| 1254   | 1,590    | 2254                | 795      | Extension for reply within fourth month                                    |                    |
| 1255   | 2,160    | 2255                | 1,080    | Extension for reply within fifth month                                     |                    |
| 1401   | 500      | 2401                | 250      | Notice of Appeal   |                    |
| 1402   | 500      | 2402                | 250      | Filing a brief in support of an appeal                                     |                    |
| 1403   | 1,000    | 2403                | 500      | Request for oral hearing   |                    |
| 1451   | 1,510    | 1451                | 1,510    | Petition to institute a public use proceeding                              |                    |
| 1452   | 500      | 2452                | 250      | Petition to revive - unavoidable   |                    |
| 1453   | 1,500    | 2453                | 750      | Petition to revive - unintentional   |                    |
| 1501   | 1,400    | 2501                | 700      | Utility issue fee (or reissue)   | 1400.00            |
| 1502   | 800      | 2502                | 400      | Design issue fee   |                    |
| 1503   | 1100     | 2503                | 550      | Plant issue fee  |                    |
| 1462   | 400      | 1462                | 400      | Petitions to the Commissioner (CFR 1.17(f) Group I)                        |                    |
| 1463   | 200      | 1463                | 200      | Petitions to the Commissioner (CFR 1.17(g) Group II)                       |                    |
| 1464   | 130      | 1464                | 130      | Petitions to the Commissioner (CFR 1.17(h) Group III)                      |                    |
| 1807   | 50       | 1807                | 50       | Processing fee under 37 CFR 1.17(q)  |                    |
| 1806   | 180      | 1806                | 180      | Submission of Information Disclosure Stmt                                  |                    |
| 8021   | 40       | 8021                | 40       | Recording each patent assignment per property (times number of properties) |                    |
| 1809   | 790      | 2809                | 395      | For filing a submission after final rejection (see 37 CFR 1.129(a))        |                    |
| 1814   | 130      | 2814                | 65       | Statutory Disclaimer   |                    |
| 1810   | 790      | 2810                | 395      | For each additional invention to be examined (see 37 CFR 1.129(b))         |                    |
| 1801   | 790      | 2801                | 395      | Request for Continued Examination (RCE)                                    |                    |
| 1802   | 900      | 1802                | 900      | Request for expedited examination of a design application                  |                    |
| 1504   | 300      | 1504                | 300      | Publication fee for early, voluntary, or normal pub.                       |                    |
| 1505   | 300      | 1505                | 300      | Publication fee for republication  |                    |
| 1803   | 130      | 1803                | 130      | Request for voluntary publication or republication                         |                    |
| 1808   | 130      | 1808                | 130      | Processing fee under 37 CFR 1.17(i) (except provisionals)                  |                    |
| 1454   | 1,370    | 1454                | 1,370    | Acceptance of unintentionally delayed claim for priority                   |                    |
| Other fee (specify) <u>Advance order of hard copies of issued patent (10 at \$3.00 each)</u> |          |                     |          |  | 30.00              |
| Other fee (specify) _____  |          |                     |          |  |                    |
|  |          |                     |          | <b>SUBTOTAL (4)</b>  | <b>\$ 1,430.00</b> |

\*Reduced by Basic Filing Fee Paid

**SUBMITTED BY:**Typed or Printed Name: Kevin G. ShaoSignature: Date: August 8, 2005Reg. Number: 45,095Telephone Number: 408-720-8300

Send to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450